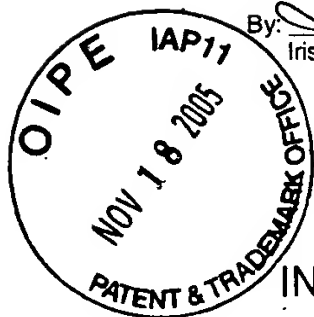


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I hereby certify that this paper and/or fee is being deposited with the United States Postal Service as First Class Mail service on November 16, 2005 and is addressed to the Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: Iris E Weber

Iris E Weber



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) : Zhigang Fan

TITLE : METHOD AND APPARATUS FOR  
IMPLEMENTING ANTI-  
COUNTERFEITING MEASURES IN  
PERSONAL COMPUTER-BASED  
DIGITAL COLOR PRINTERS

APPLICATION NO. : 09/707,409

FILED : November 6, 2000

CONFIRMATION NO. : 9961

EXAMINER : Twyler Marie Lamb

ART UNIT : 2622

DATE ALLOWED : October 12, 2005

ATTORNEY DOCKET NO. : D/99426-US-NP  
XERZ 2 00348

**INFORMATION DISCLOSURE STATEMENT**

MAIL STOP ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97, 1.98 and MPEP § 609, applicant(s) submit(s) the following Disclosure Statement concerning art of which the applicant(s) is(are) aware. A copy of PTO-1449 is enclosed herewith.

This Information Disclosure Statement is not intended to constitute an admission that any patent, publication or other information referred to herein or submitted herewith is "prior art" for this invention unless specifically designated as such.

In accordance with the United States Patent and Trademark Office OG

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Notice dated 05 August 2003, waiving the requirement under 37 C.F.R. §1.98(a)(2)(i), for submitting a copy of each cited U.S. patent and U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003, Applicant(s) has not enclosed copies of the cited U.S. patents and applications with this Disclosure.

In accordance with 37 C.F.R. §1.97(g) and (h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

Under § 1.98(a)(3), no concise explanation of relevance is required for information that is in the English language. Accordingly, the enclosed art requires no further explanation (or no translation is available). All of the cited and/or included references were cited by the European Patent Office in a related application(s).

Consideration of the appropriate paragraph(s) indicated below is respectfully requested:

☐ **WITHIN THREE MONTHS OF FILING:** Under § 1.97(b)(1), this Information Disclosure Statement is being filed within three months of the filing date of the application (or date of entry of the national stage). Although it is believed no fee is necessary, any deficiency in fees should be handled as set forth below.

☐ **BEFORE FIRST OFFICE ACTION:** Under § 1.97(b)(3), this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. Although it is believed no fee is necessary, any deficiency in fees should be handled as set forth below.

☐ **BEFORE FINAL ACTION, OR NOTICE OF ALLOWANCE, OR ACTION THAT CLOSES PROSECUTION/WITH STATEMENT:** Under § 1.97(c)(1), this information shall be considered if filed before the mailing date of a final action, or a Notice of Allowance or action that otherwise closes prosecution in the application if accompanied by the statement:

Under § 1.97(e)(1), the undersigned states:

☐ A. that each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement; or

☐ B. that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

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mailing date of a final action if accompanied by a fee in the amount of \$180.00 as required by §1.17(p). Accordingly, the necessary fee accompanies this Information Disclosure Statement, as set forth below.

☒ **AFTER FINAL ACTION, OR NOTICE OF ALLOWANCE, OR ACTION THAT CLOSES PROSECUTION/AND ON OR BEFORE PAYMENT OF THE ISSUE FEE:**

1. Under § 1.97(e)(1), the undersigned states:

☒ A. that each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement; **or**

☐ B. that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the Information Disclosure Statement; **and**

2. ☒ the fee in the amount of \$180.00 as required by §1.17(p). Accordingly, the necessary fee accompanies this Information Disclosure Statement, as set forth below.

☐ **PRIORITY CLAIM:** The attached PTO 1449 Form includes all patents, publications, or other information previously cited by or submitted to the Office in one or more prior applications from which the present application claims priority. These one or more prior applications are identified in the papers accompanying the filing of this application.

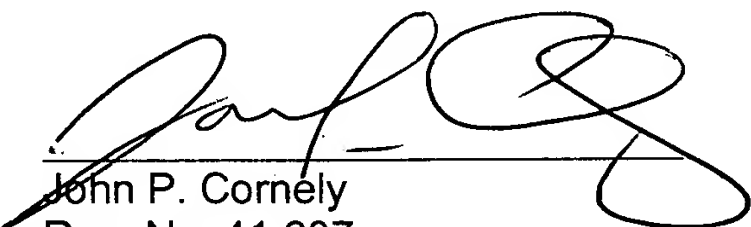
Any payment due for the filing of this Information Disclosure Statement is authorized to be charged to Deposit Account No. 24-0037.

Respectfully submitted,

FAY, SHARPE, FAGAN,  
MINNICH & McKEE, LLP

Date

11/16/05

  
John P. Cornely

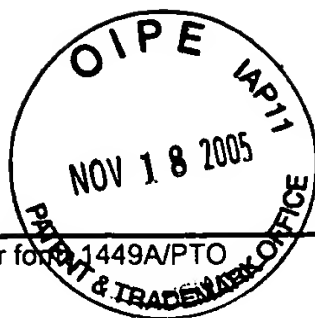
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|---|--------------------------|------------------------------|
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT(S)</b> | <b>Complete if Known</b> |                              |
|   | Application Number       | 09/707,409                   |
|   | Filing Date              | November 6, 2000             |
|   | First Named Inventor     | Fan                          |
|   | Art Unit                 | 2622                         |
|   | Examiner Name            | Twyler Marie Lamb            |
| Sheet 1 of 1  | Attorney Docket No.      | D/99426-US-NP (XERZ 2 00348) |

**U.S. PATENT DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. | Document No.<br>Number-Kind Code (if known) | Publication/Issue<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|-----------------------|-------------|---|---|--|---|
|                       | AA          | US-   |   |  |   |
|                       | AB          | US-   |   |  |   |
|                       | AC          | US-   |   |  |   |
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|                       | AJ          | US-   |   |  |   |
|                       | AK          | US-   |   |  |   |
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**FOREIGN PATENT DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. | Foreign Patent Document                  | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited<br>Document | Pages, Columns, Lines,<br>Where Relevant Passages or<br>Relevant Figures Appear | T                        |
|-----------------------|-------------|--|--------------------------------|---|---|--------------------------|
|                       |             | Country Code-Number Kind Code (if known) |                                |   |   |                          |
|                       | AM          | EP 0 675 631 A2                          | 10-04-1995                     |   |   | <input type="checkbox"/> |
|                       | AN          | EP 0 751 663 A2                          | 01-02-1997                     |   |   | <input type="checkbox"/> |
|                       | AO          |  |                                |   |   | <input type="checkbox"/> |
|                       | AP          |  |                                |   |   | <input type="checkbox"/> |

**OTHER NON PATENT LITERATURE DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item<br>(book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume/issue number(s),<br>publisher, city and/or country where published | T                        |
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|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|